

# Baire Category Invariants and the Structure of Non-Separable Banach Spaces

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This presentation will survey Todorčević's article "Biorthogonal systems and quotient spaces via Baire category methods".

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## **Biorthogonal systems and quotient spaces via baire category methods**

**Stevo Todorčević**

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**Abstract.** We show that every Banach space  $X$  of density smaller than the Baire category number  $\text{bc}(X)$  admits a quotient with a long Schauder basis that can be taken of length  $\omega_1$  if  $X$  is not separable. So, assuming that the Baire category number  $\text{bc}(X)$  does not take its minimal possible value, a Banach space  $X$  is separable if and only if all biorthogonal systems of  $X$  are countable.

The classical definition of a Schauder basis is as follows:

## Definition 1: Schauder basis

A sequence  $(x_n)_{n \in \omega}$  in a Banach space  $X$  is a **Schauder basis** if for every  $x \in X$  there exists a unique sequence of scalars  $(\alpha_n)_{n \in \omega}$  such that

$$x = \sum_{n \in \omega} \alpha_n x_n.$$

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We know that the existence of this type of basis is restricted to separable spaces. Furthermore, not all separable spaces have it.

## Motivation

Fortunately we can go to closed subspaces or to quotient spaces.

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### Theorem 2: Johnson–Rosenthal

Every separable Banach space  $X$  has a subspace  $Y$  such that  $X/Y$  has a Schauder basis.

But what about non-separable spaces?

## (Long) Schauder bases

A possible generalization of Schauder bases to non-separable spaces is the following:

### Definition 2: (Long) Schauder basis

Let  $X$  be a Banach space and  $\Gamma$  be an ordinal. A sequence  $(x_\alpha)_{\alpha < \Gamma}$  in  $X$  is a **(long) Schauder basis** if for every  $x \in X$  there exists a unique sequence  $(\alpha_\beta)_{\beta < \Gamma} \subseteq \mathbb{K}$  such that

$$x = \sum_{\beta < \Gamma} \alpha_\beta x_\beta.$$



## The question

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Todorčević proved that this is true under PID when  $d(X) < \mathfrak{m}$ .

### Lemma 1: Todorčević

For a given Banach space  $X$ , there is a bounded linear operator  $H : X \rightarrow c_0(\omega_1)$  whose range is non-separable if and only if there is a normalized sequence  $(f_\gamma)_{\gamma \in \omega_1}$  of bounded linear functionals on  $X$  such that  $\left| \bigcup_{\beta \in \omega_1} \text{supp}(f_\beta) \right| \geq \omega_1$  and  $\{f_\gamma(x) : \gamma \in \omega_1\} \in c_0(\omega_1)$  for all  $x \in X$ .

## The main ideas

The core argument lies in the following theorem:

### Theorem 3: Todorčević

Suppose  $X$  is a Banach space of density  $< \mathfrak{m}$  admitting a bounded linear operator  $H : X \rightarrow c_0(\omega_1)$  with nonseparable range. Then  $X$  admits a quotient with a basis of length  $\omega_1$ .

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Along its proof, Baire category arguments are used twice. Once to prove that the following space is norm-dense in  $X$ :

$$Y = \left\{ x \in X : \sum_{\alpha < \omega_1} |f_\alpha(x)| < \infty \right\}$$

## The forcing poset

...and again to find an uncountable subsequence of  $S = (f_\alpha)_{\alpha \in \Gamma}$  such that there is a quotient map from  $X$  onto  $\overline{\text{span}S}$ .

The forcing poset we use here is the set of the  $p = (D_p, \Gamma_p, \varepsilon_p)$  such that:

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- $D_p$  is a finite subset of  $Y$ ;
- $\Gamma_p$  is a finite subset of  $\omega_1$ ;
- $\varepsilon_p$  is a rational number in  $(0, 1)$ ;
- For every  $f^* \in (\text{span}\{f_\gamma : \gamma \in \Gamma_p\})^*$  with  $\|f^*\| = 1$ , there is an  $x \in D_p$  with  $\|x\| = 1$  such that

$$|f^*(e) - e(x)| \leq \frac{\varepsilon_p}{3} \|e\|$$

for all  $e \in \text{span}\{f_\gamma : \gamma \in \Gamma_p\}$ .

# The forcing poset

We order the poset by letting  $p \leq q$  if explicitly:

- $D_p \subseteq D_q$ ,  $\Gamma_p \subseteq \Gamma_q$  and  $\varepsilon_p \geq \varepsilon_q$ ;

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where  $\Gamma_\varepsilon(x)$  is a finite set such that  $\sum_{\alpha \notin \Gamma_\varepsilon(x)} |f_\alpha(x)| < \varepsilon$ .

### Theorem 4: Lindenstrauss–Rosenthal

Let  $X$  be a Banach space. For every finite-dimensional space  $E$  of  $X^{**}$ , finite-dimensional subspace  $F$  of  $X^*$  and  $\varepsilon > 0$  there exists a linear isomorphism  $T : E \rightarrow T(E) \subseteq X$  such that  $\|T\| \cdot \|T^{-1}\| \leq 1 + \varepsilon$ ,  $x^*(Tx^{**}) = x^{**}(x^*)$  for all  $x^{**} \in E$  and  $x^* \in F$  and  $T$  is the identity on  $E \cap X$ .

## The dense subsets

Let  $Y_0$  be a dense subset of  $Y$  of size  $< m$ . We now define the dense subsets of our poset:

- For every  $x \in \text{span}_{\mathbb{Q}} Y_0$ , the set  $D_x = \{p \in \mathbb{P} : x \in D_p\}$  is dense;

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- For every rational  $\varepsilon \in (0, 1)$ , the set  $F_\varepsilon = \{p \in \mathbb{P} : \varepsilon_p \leq \varepsilon\}$  is dense.



## What about the operator?

### Theorem 5: Todorčević

Let  $X$  be a Banach space **of density**  $< \mathfrak{m}$  whose dual ball equipped with the weak\* topology **is** countably determined. Then there is a bounded linear operator with non-separable range  $H : X \rightarrow c_0(\omega_1)$ .

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### Theorem 6: Todorčević

Let  $X$  be a Banach space whose dual ball equipped with the weak\* topology **is not** countably determined. Then, **under PID** there is a bounded linear operator with non-separable range  $H : X \rightarrow c_0(\omega_1)$ .

- Is it true that every Banach space has a quotient space with a Schauder basis of the same length as its density? Unfortunately, **no**. One example is the space  $l_\infty(2^{\aleph_0})$ .

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- This question is equivalent to the one about the existence of bounded fundamental biorthogonal systems (Plichko).

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**Thank you!**

Obrigado!

Děkuji!